

Derandomizing Space-Bounded Computation

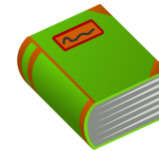
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Course Summary & Review

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The complexity class BPL

- Let $f: \{0, 1\}^* \rightarrow \{0, 1\}$
- By definition, $f \in \text{BPL}$ if there exists a Turing machine M such that:
 - There is a read-only input tape
 - There is a read/write work tape of size $O(\log n)$
 - There is a **read-once** random tape
 - For every $x \in \{0, 1\}^*$, we have $\Pr[M(x) = f(x)] \geq 2/3$
 - M halts for every input and **every** setting of the random tape



Undirected $s-t$ connectivity

- **Theorem [AKLLR 1979]:** The undirected $s-t$ connectivity problem is in BPL
- Algorithm: Take a polynomial-length **random walk** from s , and accept if you ever visit t
- We analyzed this algorithm using the **spectral expansion parameter**

Spectral expansion parameter

- Let H be a directed regular multigraph
- Identify H with its transition probability matrix. Definition:

$$\lambda(H) = \max_{\pi} \frac{\|\pi H - u\|_2}{\|\pi - u\|_2},$$

where π is a probability vector and u is the uniform probability vector

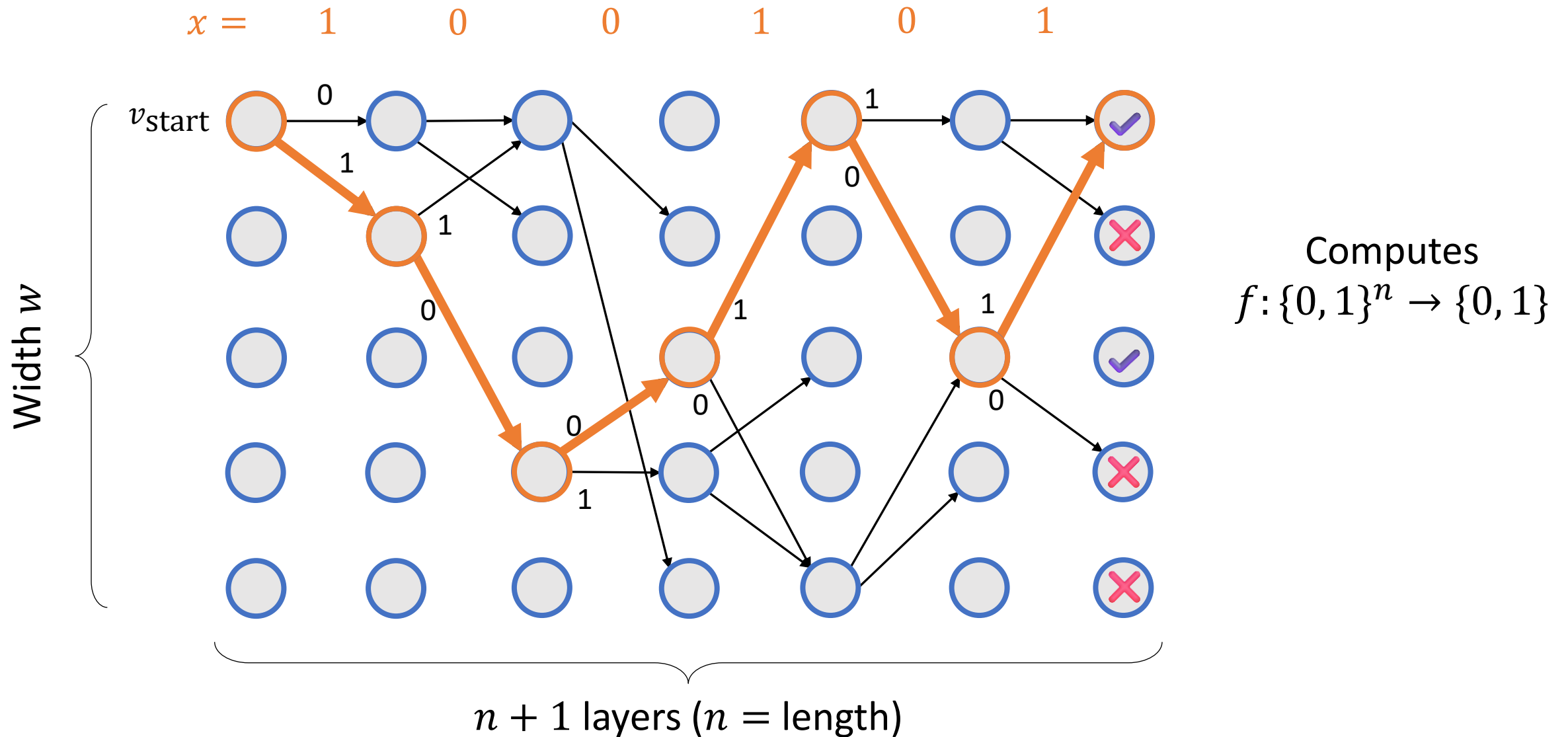
Derandomization

- AKLLR 1979: Does $L = BPL$? (*actually they asked about RL)
- **Conjecture:** $L = BPL$
- $L = BPL$ would mean that **randomness is never necessary** for space-efficient computation
- Intensely studied since AKLLR 1979 paper... with considerable success!

Read-once branching programs (ROBPs)

- To prove $L = BPL$, it suffices to design a deterministic log-space algorithm for the following problem:
- **Input:** The description of a standard-order **ROBP** f
- **Output:** A number μ such that $|\mathbb{E}[f] - \mu| \leq 0.1$

Read-once branching programs (ROBPs)



Four approaches

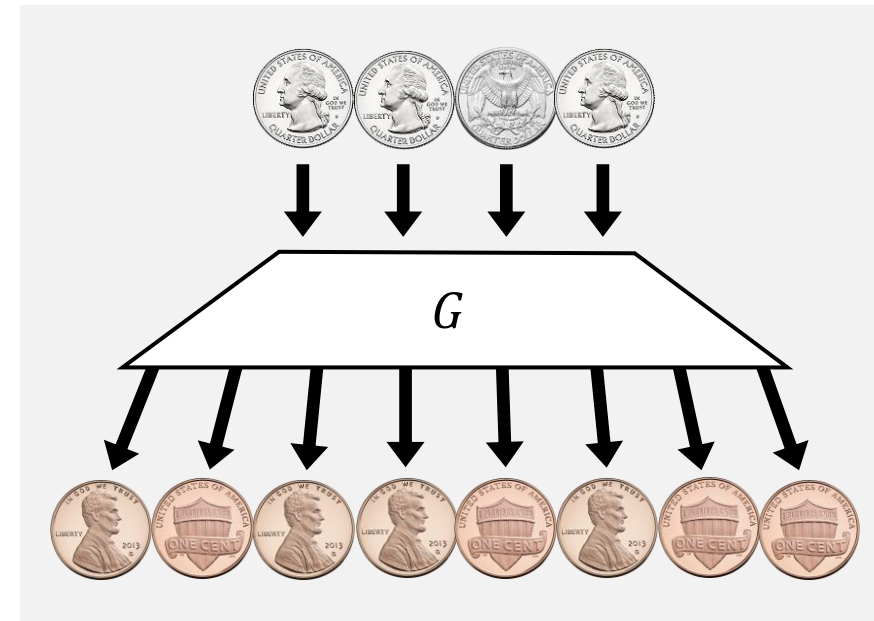
- In this course, we studied **four approaches** to derandomizing BPL:
 1. The **INW** Approach
 2. The **Iterated Restrictions** Approach
 3. The **Nisan** Approach
 4. The Inverse **Laplacian** Approach

1. The INW Approach

Pseudorandom generators

- A **pseudorandom generator** (PRG) is a function $G: \{0, 1\}^s \rightarrow \{0, 1\}^n$
- The PRG **fools** $f: \{0, 1\}^n \rightarrow \{0, 1\}$ with error ε if

$$|\mathbb{E}[f] - \mathbb{E}[f(G(U_s))]| \leq \varepsilon$$



The INW PRG

- **Theorem** [Nisan 1992]: For every w, n, ε , there is an explicit PRG that fools width- w length- n standard-order ROBPs with error ε and seed length $O(\log(wn/\varepsilon) \cdot \log n)$
- One example of such a PRG: The INW PRG [Impagliazzo, Nisan, Wigderson 1994]
- Base case: $G_0(x) = x$
- Recursive step: $G_{i+1}(x, y) = (G_i(x), G_i(H_{i+1}[x, y]))$ for some expander graph H_{i+1}

Expander graphs

- Let H be a regular undirected multigraph
- Informally, we say that H is an **expander** if H has low degree, and yet $\lambda(H)$ is small
- **Fact:** For every $n \in \mathbb{N}$ and $\lambda \in (0, 1)$, there exists an explicit expander on n vertices with $\lambda(H) \leq \lambda$ and $\deg(H) \leq \text{poly}(1/\lambda)$

Analysis of the INW PRG

- Assume by induction that G_i fools width- w programs with error ε_i
- **Expander Mixing Lemma** $\Rightarrow G_{i+1}$ fools width- w programs with error
$$2 \cdot \varepsilon_i + \lambda(H_{i+1}) \cdot w$$
- Consequently, if $\lambda(H_i) \leq \lambda$ for every i , then $G_{\log n}$ fools width- w programs with error $\lambda \cdot w \cdot n$
- Choose $\lambda = \frac{\varepsilon}{wn}$ ✓

Regular ROBPs

- An ROBP is **regular** if every vertex has two incoming edges (except the vertices in layer 0)
- **Theorem** [Lee, Pyne, Vadhan 2023]: If $f: \{0, 1\}^n \rightarrow \{0, 1\}$ can be computed by a standard-order ROBP of width w , then f can also be computed by a standard-order **regular** ROBP of width $O(wn)$

Fooling regular ROBPs

- **Theorem** [Braverman, Rao, Raz, Yehudayoff 2014]: If $\lambda(H_i) \leq \lambda$ for every i , then the INW generator $G_{\log n}$ fools width- w standard-order **regular** ROBPs with error $\lambda \cdot \text{poly}(w) \cdot \log n$
 - Proof based on analyzing the **weight** of a regular ROBP
- **Corollary:** Can fool such programs with seed length $\tilde{O}(\log(w/\varepsilon) \cdot \log n)$

Reingold's theorem

- **Theorem** [Reingold 2005]: Undirected s - t connectivity is in L
- Algorithm idea [Rozenman, Vadhan 2005]:
 - Try all seeds for the INW generator, with suitable $\lambda(H_i)$ values
 - Accept if there is a seed that brings us from s to t
- Analysis based on the **derandomized square** operation

$$\lambda(G \textcircled{S} H) \leq (1 - \lambda(H)) \cdot \lambda(G)^2 + \lambda(H)$$

2. The Iterated Restrictions Approach

The Forbes-Kelley PRG

- Let D, T, U be independent random variables, each distributed over $\{0, 1\}^n$
- Assume U is uniform random, D is $(2k)$ -wise uniform, T is k -wise uniform
- **Theorem** [Forbes, Kelley 2018]: $D + (T \wedge U)$ fools width- w length- n ROBPs with error $w \cdot n \cdot 2^{-k/2}$
- Proof uses [Fourier analysis](#)

Iterated restrictions

- Define $X \in \{0, 1, \star\}^n$ by

$$X_i = \begin{cases} D_i, & T_i = 0 \\ \star, & T_i = 1 \end{cases}$$

- $D + (T \wedge U)$ fools f , so $\mathbb{E}[f] \approx \mathbb{E}_{X,U}[f|_X(U)]$
- One round \Rightarrow Assign values to **half** the variables. Cost $O(\log(wn/\varepsilon) \cdot \log n)$
- Repeat for $O(\log(n/\varepsilon))$ rounds
- \Rightarrow PRG fooling ROBPs with seed length $O(\log(wn/\varepsilon) \cdot \log(n/\varepsilon) \cdot \log n)$

Arbitrary-order ROBPs

- The Forbes-Kelley seed length is a bit **worse** than the INW seed length
- However, FK fools **arbitrary-order** ROBPs!
- That is, if we let $G_\pi(x) = (G(x)_{\pi(1)}, \dots, G(x)_{\pi(n)})$, then G_π fools ROBPs for **any permutation** $\pi: [n] \rightarrow [n]$
- Reason: D_π is still $(2k)$ -wise uniform and T_π is still k -wise uniform

The constant-width case

- **Theorem** [Forbes, Kelley 2018]: Using only $\tilde{O}(\log(n/\varepsilon))$ truly random bits, it is possible to assign values to \approx half the variables of a **constant-width** ROBP while preserving its expectation to within error ε
 - Construction based on **small-bias generators**

Iterated restrictions with early termination

- Let \mathcal{F} be a subclass of constant-width ROBPs, e.g., read-once CNFs
- Strategy for fooling \mathcal{F} with seed length $\tilde{O}(\log(n/\varepsilon))$:
 1. Do $\text{poly}(\log \log(n/\varepsilon))$ rounds of Forbes-Kelley restrictions
 2. Prove that w.h.p., f simplifies under the restrictions
 3. Use some other approach to fool the simplified f with a short seed

3. The Nisan Approach

Nisan's PRG

- Let \mathcal{H} be a **pairwise uniform** family of hash functions $h: \{0, 1\}^k \rightarrow \{0, 1\}^k$
 - $k = O(\log(wn/\varepsilon))$
- Nisan's PRG:

$$G_{h_1, \dots, h_{\log n}}(x) = \left(G_{h_1, \dots, h_{\log n-1}}(x), G_{h_1, \dots, h_{\log n-1}}(h_{\log n}(x)) \right)$$

- **Pairwise Uniformity Mixing Lemma** \Rightarrow Can generate n bits that fool w -state automata with error ε and seed length $O(\log(wn/\varepsilon)) \cdot \log n$

Good hash functions

- The seed length of Nisan's PRG is not any better than that of the INW PRG
- However, Nisan's PRG has some useful extra **structure**
- With high probability, h_i is "good" relative to the automaton M and the previous hash functions h_1, \dots, h_{i-1}
 - I.e., M doesn't distinguish G_{h_1, \dots, h_i} from two copies of $G_{h_1, \dots, h_{i-1}}$

BPL \subseteq SC

- **Theorem** [Nisan 1994]: Every problem in BPL can be solved by a deterministic algorithm that simultaneously uses $O(\log^2 n)$ bits of space and $\text{poly}(n)$ time
- **Proof idea:** Exhaustively search for a good h_1 , then exhaustively search for a good h_2 , then a good h_3 , etc.

BPL \subseteq L^{1.5}

- **Theorem** [Saks, Zhou 1995]: BPL \subseteq DSPACE($\log^{3/2} n$)
- **Proof idea:** Sample only $\sqrt{\log n}$ hash functions $\vec{h} = (h_1, \dots, h_{\sqrt{\log n}})$
- Repeatedly use Nisan's PRG $G_{\vec{h}}$ to approximate $M^{2^{\sqrt{\log n}}}$ (same \vec{h})
- After each application of $G_{\vec{h}}$, **perturb and round** the entries of the transition probability matrix, to break the correlations with \vec{h}

4. The Inverse Laplacian Approach

Inverse Laplacian of an ROBP

- Let f be an ROBP on N vertices
- Let $M \in [0, 1]^{N \times N}$ be the transition probability matrix
- Let L be the Laplacian matrix: $L = I - M$
- Then $L^{-1} = M^0 + \dots + M^n$
- L^{-1} is the matrix of expectations of all subprograms $f_{u \rightarrow v}$

Non-black-box error reduction

- **Theorem** [Ahmadinejad, Kelner, Murtagh, Peebles, Sidford, Vadhan 2020]: Given the description of a width- n length- n ROBP f , it is possible to deterministically compute μ such that $|\mu - \mathbb{E}[f]| \leq \varepsilon$ using space $O(\log^{3/2} n + \log n \cdot \log \log(1/\varepsilon))$
- Proof is based on **Richardson iteration**: If $A \approx L^{-1}$, then $A \cdot \sum_{i=0}^m (I - LA)^i$ is a better approximation for L^{-1}

Weighted PRGs

- A **WPRG** is a pair (G, ρ) where $G: \{0, 1\}^s \rightarrow \{0, 1\}^n$ and $\rho: \{0, 1\}^s \rightarrow \mathbb{R}$
- We say that the WPRG fools $f: \{0, 1\}^n \rightarrow \{0, 1\}$ with error ε if

$$|\mathbb{E}[f] - \mathbb{E}_{x \in \{0, 1\}^s} [f(G(x)) \cdot \rho(x)]| \leq \varepsilon$$

Low-error WPRGs

- **Theorem** [Braverman, Cohen, Garg 2018]: For every w, n, ε , there is an explicit WPRG that fools width- w length- n standard-order ROBPs with error ε and seed length $\tilde{O}(\log(wn) \cdot \log n + \log(1/\varepsilon))$
- **Proof idea** [Cohen, Doron, Renard, Sberlo, Ta-Shma 2021; Pyne, Vadhan 2021]:
 1. Reverse-engineer Richardson iteration
 2. Use the INW generator to sample a sequence of correlated seeds for A^i term

Hitting sets

- Let $H \subseteq \{0, 1\}^n$ and let \mathcal{F} be a class of $f: \{0, 1\}^n \rightarrow \{0, 1\}$
- H is an ε -hitting set for \mathcal{F} if, for every $f \in \mathcal{F}$ such that $\mathbb{E}[f] > \varepsilon$, there is some $x \in H$ such that $f(x) = 1$
- PRG \Rightarrow WPRG \Rightarrow Hitting Set

Using hitting sets to derandomize BPL

- **Theorem** [Cheng, H 2020]: Assume $\exists O(\log n)$ -space-computable 0.5-hitting set for width- n length- n standard-order ROBPs. Then $L = BPL$

- Proof idea: Each $x \in H$ is the truth table of a candidate PRG

$$G^{(x)}: \{0, 1\}^{O(\log n)} \rightarrow \{0, 1\}^n$$

- Each candidate PRG $G^{(x)}$ induces a candidate approximation $A^{(x)}$ for L^{-1}
- To judge whether $G^{(x)}$ is a good PRG, check whether $LA^{(x)} \approx I$

Conclusions



- To me, L vs. BPL is **the most exciting topic** in modern complexity theory
- It is an extremely **fundamental** topic, like P vs. NP, L vs. P, etc.
- L vs. BPL is special because we can feel optimistic about **resolving** it!
- We already have **many powerful and interesting techniques**
- Maybe **you** have what it takes to prove $L = BPL$!

Thank you!

- Being your instructor has been a privilege
- Please fill out the Graduate Course Feedback Form using My.UChicago
(deadline is Sunday, March 16)