#### CMSC 28100

# Introduction to Complexity Theory

Autumn 2025

Instructor: William Hoza

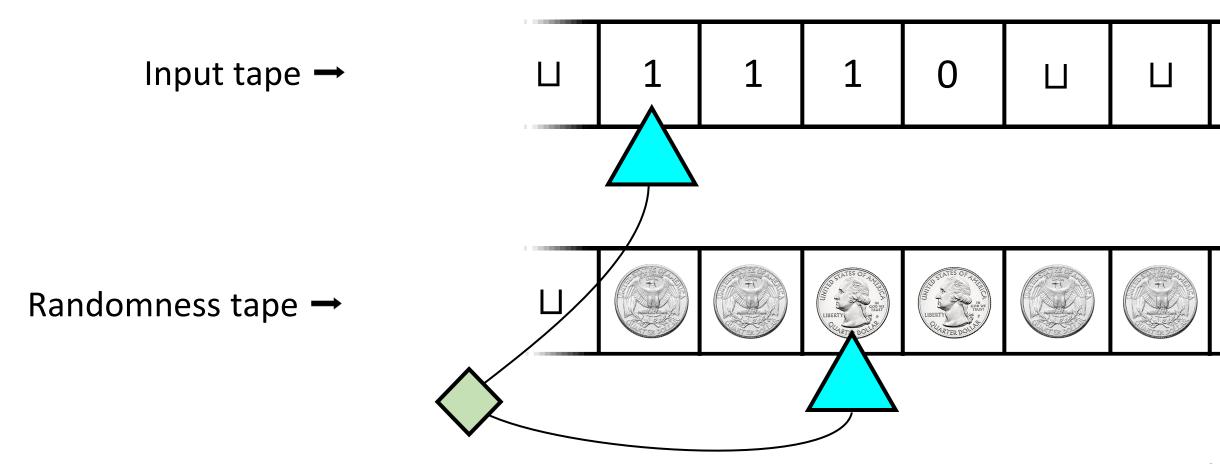


Which problems

can be solved

through computation?

## Randomized Turing machines

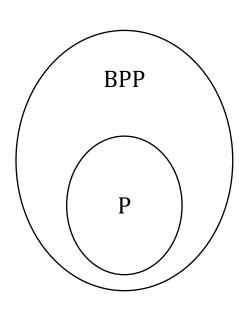


## Identity testing: Recap

- We proved IDENTICALLY-ZERO ∈ BPP
- Therefore, we should consider IDENTICALLY-ZERO to be tractable
- Is this a counterexample to the idea that P is the set of tractable problems?
- Not necessarily. Maybe IDENTICALLY-ZERO ∈ P

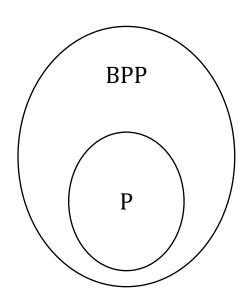
#### P vs. BPP

- P ⊆ BPP
- Open question: Does P = BPP?
  - Is randomness helpful for computation?
- Profound question about the nature of efficient computation



#### P vs. BPP

- What would it take to prove  $P \neq BPP$ ?
  - Define a language *Y*
  - Prove  $Y \in BPP$
  - Prove  $Y \notin P$
  - Good candidate: Y = IDENTICALLY-ZERO
- What would it take to prove P = BPP?



#### Derandomization

- Suppose  $Y \in BPP$
- If we want to decide Y without randomness, what can we do?
- How can we convert a randomized algorithm into a deterministic algorithm?

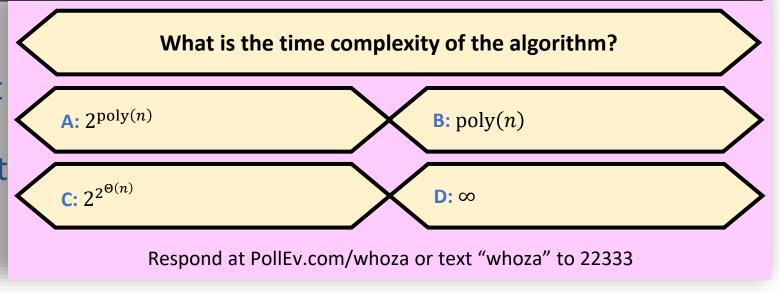
#### Brute-force derandomization

- Let M be a randomized Turing machine that decides Y with error probability 1/3 and time complexity  $n^k$
- Deterministic algorithm that decides Y: Given  $w \in \{0, 1\}^n$ :
  - 1. For every  $x \in \{0, 1\}^{n^k}$ :
    - a) Simulate M, initialized with w on tape 1 and x on tape 2
    - b) Keep a count of how many simulations accept
  - 2. If more than half of the simulations accepted, then accept. Otherwise, reject

## Brute-force derandomization: Correctness

- 1. For every  $x \in \{0, 1\}^{n^k}$ :
  - a) Simulate M, initialized with w on tape 1 and x on tape 2
  - b) Keep a count of how many simulations accept
- 2. If more than half of the simulations accepted, then accept. Otherwise, reject

- If  $w \in Y$ , then at least
- If  $w \notin Y$ , then at most



## Brute-force derandomization: Time complexity

- 1. For every  $x \in \{0, 1\}^{n^k}$ :
  - a) Simulate M, initialized with w on tape 1 and x on tape 2
  - b) Keep a count of how many simulations accept
- 2. If more than half of the simulations accepted, then accept. Otherwise, reject

- Time complexity:  $2^{\text{poly}(n)}$
- This algorithm does not show that P = BPP, but it does show that even randomized algorithms have limitations. For example, HALT ∉ BPP

## The complexity class EXP

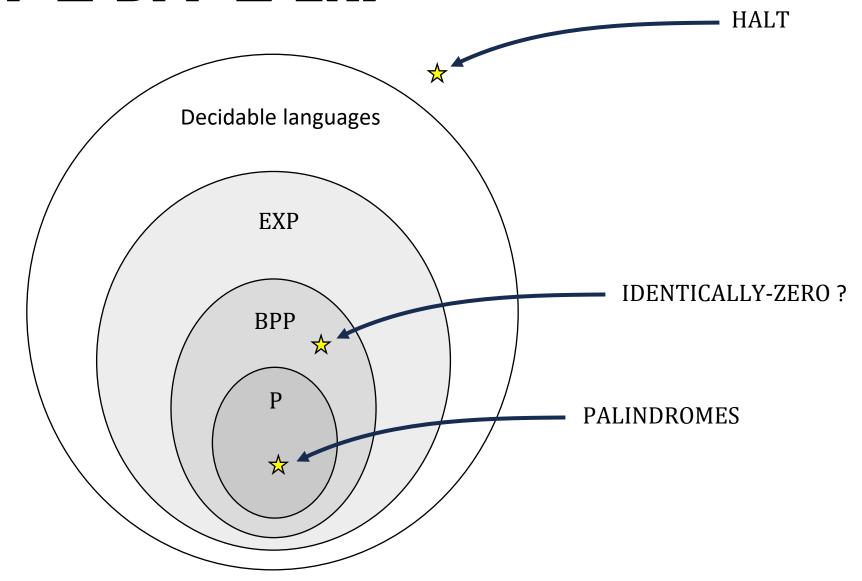
#### Definition:

$$EXP = \{Y \subseteq \{0, 1\}^* : Y \text{ can be decided in time } 2^{\text{poly}(n)}\}$$

$$= \bigcup_{k=1}^{\infty} \text{TIME}\left(2^{n^k}\right)$$

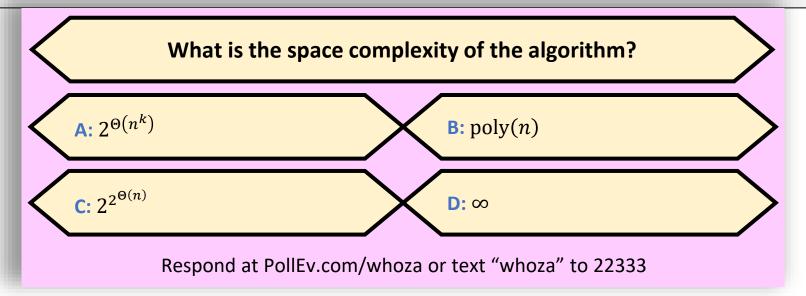
• Brute-force derandomization proves  $BPP \subseteq EXP$ 

## $P \subseteq BPP \subseteq EXP$



## Brute-force derandomization: Space complexity

- 1. For every  $x \in \{0, 1\}^{n^k}$ :
  - a) Simulate M, initialized with w on tape 1 and x on tape 2
  - b) Keep a count of how many simulations accept
- 2. If more than half of the simulations accepted, then accept. Otherwise, reject



## The complexity class PSPACE

#### Definition:

PSPACE =  $\{Y \subseteq \{0, 1\}^* : Y \text{ can be decided in } space poly(n)\}$ 

• Brute-force derandomization proves that  $BPP \subseteq PSPACE$ 

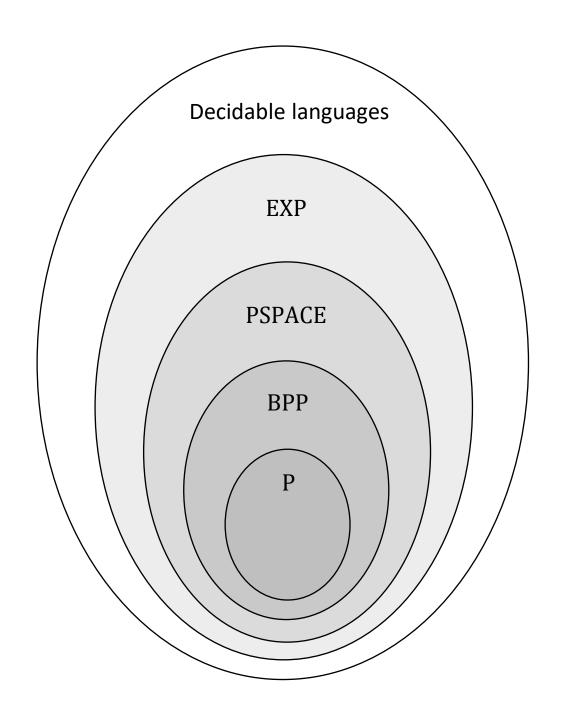
## PSPACE vs. EXP

- Theorem 1: BPP  $\subseteq$  EXP
- Theorem 2: BPP  $\subseteq$  PSPACE
- Which theorem is stronger?
- How does PSPACE compare to EXP?

#### **Theorem:** PSPACE $\subseteq$ EXP

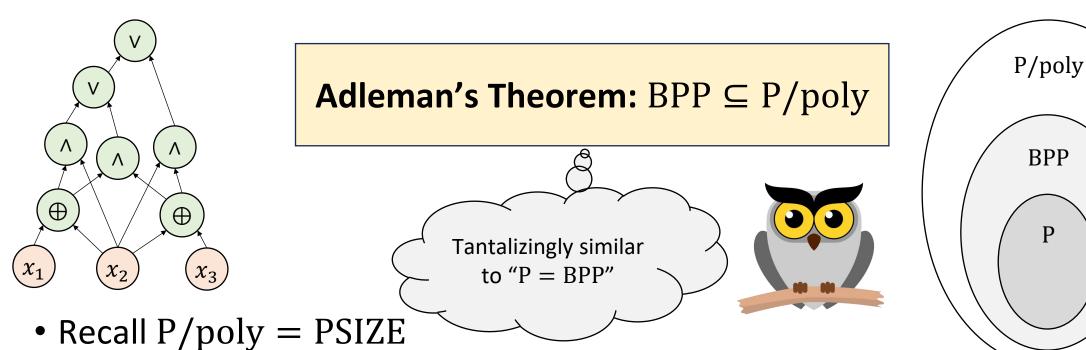
- **Proof (1 slide):** Let M be a Turing machine that decides a language Y
- Exercise 4: For each input,  $Time \leq C^{Space+1}$ , where C depends only on M
- When Space = poly(n), we get

$$Time \le C^{\text{poly}(n)} = \left(2^{\log C}\right)^{\text{poly}(n)} = 2^{(\log C) \cdot \text{poly}(n)} = 2^{\text{poly}(n)}$$



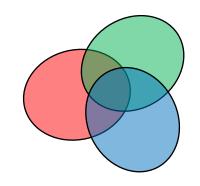
## Derandomization beyond brute force





- Note: There is no randomness in the definitions of P/poly and PSIZE!
- Proof of Adleman's theorem: Next 5 slides

#### The union bound



Key fact from probability theory:

**The Union Bound:** For any events  $E_1, E_2, \dots, E_k$ , we have

$$\Pr[E_1 \text{ or } E_2 \text{ or } ... \text{ or } E_k] \le \Pr[E_1] + \Pr[E_2] + \cdots + \Pr[E_k]$$

• Example: If we pick two cards from a deck, then

Pr[card 1 is a queen or card 2 is a queen] 
$$\leq \frac{1}{13} + \frac{1}{13} = \frac{2}{13}$$



## Adleman proof step 1: Amplification

- Let  $Y \in BPP$
- By the amplification lemma, there exists a poly-time randomized Turing machine M such that for every  $n \in \mathbb{N}$  and every  $w \in \{0, 1\}^n$ :
  - If  $w \in Y$ , then  $Pr[M \text{ accepts } w] > 1 1/2^n$
  - If  $w \notin Y$ , then  $\Pr[M \text{ accepts } w] < 1/2^n$

## Adleman proof step 2: Good random bits

- Let  $w, x \in \{0, 1\}^*$
- **Definition:** *x* is good relative to *w* if:
  - $w \in Y$  and M accepts when tape 1 is initialized with w and tape 2 is initialized with x, or
  - $w \notin Y$  and M rejects when tape 1 is initialized with w and tape 2 is initialized with x

## Adleman proof step 2: Good random bits

**Lemma:** For every n, there exists  $x_* \in \{0, 1\}^{n^k}$  that is good relative to every  $w \in \{0, 1\}^n$ 

• **Proof:** Pick  $x \in \{0, 1\}^{n^k}$  uniformly at random. Then

**Union Bound** 

$$\Pr\left[\text{there exists } w \in \{0,1\}^n \atop \text{relative to which } x \text{ is bad}\right] \leq \sum_{w \in \{0,1\}^n} \Pr[x \text{ is bad relative to } w] < 2^n \cdot \frac{1}{2^n} = 1$$

• The claim follows!

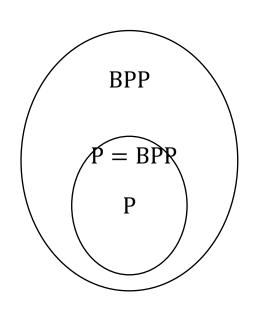
## Adleman proof step 3: Advice



- Use the "good random bits"  $x_*$  as advice
- Given w and  $x_*$ , simulate M with tape 1 initialized with w and tape 2 initialized with  $x_*$
- This shows  $Y \in P/\text{poly}$

## P vs. BPP

- We have seen two derandomization methods:
  - Brute-force
  - Adleman's theorem
- There are other methods that are more sophisticated
  - (Beyond the scope of this course)
- Because of these other methods, most experts conjecture P = BPP!



# Is P a good model of tractability?

## Robustness of P, revisited

- Let  $Y \subseteq \{0,1\}^*$ . If  $Y \notin P$ , then Y cannot be decided by...
  - A poly-time one-tape Turing machine
  - A poly-time multi-tape Turing machine
  - A poly-time word RAM program
  - A poly-time randomized Turing machine (assuming P = BPP)
- **OBJECTION:** "This still leaves open the possibility that I could somehow build a device that decides Y in polynomial time."

## Extended Church-Turing Thesis

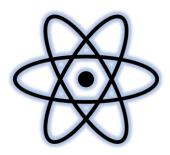
#### **Extended Church-Turing Thesis:**

For every  $Y \subseteq \{0,1\}^*$ , it is physically possible to build a device

that decides Y in polynomial time if and only if  $Y \in P$ .

- If it were true, the thesis would justify studying P
- But the thesis is probably false!
- Key challenge: Quantum Computation

## Quantum computing



- Properly studying quantum computing is beyond the scope of this course
- We will briefly circle back to it later
- For now, let's focus on P
- P is probably not the ultimate model of efficient computation...
- but it is still a valuable model

# Which problems can be solved through computation? CLASSICAL