#### CMSC 28100

# Introduction to Complexity Theory

Autumn 2025

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#### Homework reminder

- Exercises 1-3 are due today at 11:59pm
- If you joined the course late and you need an extension, send me an email

# Which problems can be solved through computation?

#### Deciding a language

- Let M be a Turing machine and let  $Y \subseteq \{0, 1\}^*$
- We say that *M* decides *Y* if
  - M accepts every  $w \in Y$ , and
  - M rejects every  $w \in \{0, 1\}^* \setminus Y$

#### Decidable and undecidable

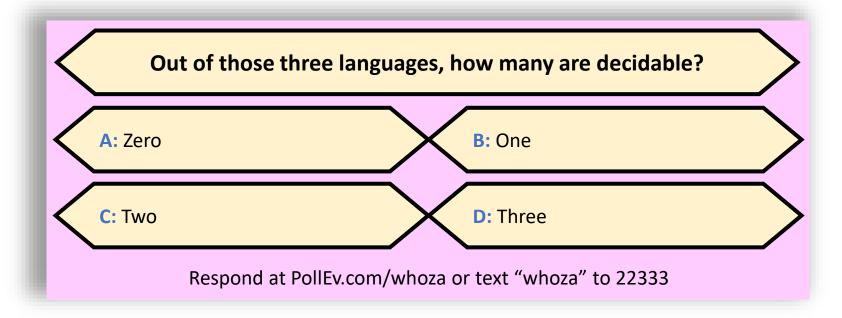
- Let  $Y \subseteq \{0, 1\}^*$
- We say that Y is decidable if there exists a Turing machine M that decides Y
- Otherwise, we say that Y is undecidable

# Which problems can be solved through computation?

# Which languages are decidable?

#### Examples

- PALINDROMES =  $\{w \in \{0, 1\}^* : w \text{ is the same forward and backward}\}$
- PARITY =  $\{w \in \{0, 1\}^* : w \text{ has an odd number of ones}\}$
- $Y = \{0^K \langle K \rangle : K \text{ is a positive integer}\}$



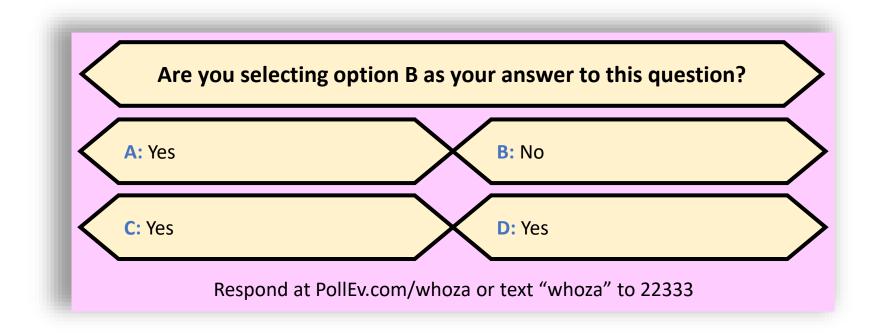
# Is every language decidable?

#### Undecidability

**Theorem:** There exists an undecidable language.

- To prove this theorem, we need to rule out all possible Turing machines!
- How can we possibly do this?

### The liar paradox



#### Code as data

- Plan: We will construct a language Y such that trying to decide Y creates a liar paradox
- Key idea: A Turing machine M can be encoded as a binary string  $\langle M \rangle$ 
  - "Code as data"
  - Specific encoding choice doesn't matter for now

#### Turing machines analyzing Turing machines

- If *M* is a Turing machine...
- Then  $\langle M \rangle$  can be the input for another Turing machine!
- Compilers, syntax highlighting, linters...

#### Self-rejecting Turing machines

- Let *M* be a TM
- What if we run M on  $\langle M \rangle$ ? Strange, but legal
- Three possibilities:
  - M accepts (M)
  - M rejects (M)
  - M loops on  $\langle M \rangle$
- **Definition:** We say that a Turing machine M is self-rejecting if M rejects  $\langle M \rangle$



#### Self-rejecting Turing machines



• Let SELF-REJECTORS =  $\{\langle M \rangle : M \text{ is a self-rejecting Turing machine}\}$ 

Theorem: SELF-REJECTORS is undecidable

- Proof: Let M be any Turing machine
- If M rejects  $\langle M \rangle$ , then  $\langle M \rangle \in SELF$ -REJECTORS
- If M doesn't reject  $\langle M \rangle$ , then  $\langle M \rangle \notin SELF-REJECTORS$
- Either way, *M* does not decide SELF-REJECTORS!

### Visualizing the proof: "Diagonalization"

What happens when we run this Turing machine...



on this input?	7
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	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	•••
$M_1$	~	~	×	$\infty$	•••
$M_2$	×	×	~	<b>✓</b>	•••
$M_3$	~	<b>✓</b>	×	×	•••
$M_4$	$\infty$	<b>✓</b>	×	$\infty$	•••
:	:	:	:	:	•.



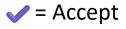
$$X = Reject$$

### Visualizing the proof: "Diagonalization"

What happens when we run this Turing machine...



on this input?							
	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	•••		
$M_1$	<b>✓</b>	<b>~</b>	×	$\infty$	•••		
$M_2$	×	×	<b>✓</b>	~	•••		
$M_3$	<b>/</b>	<b>~</b>	X	×	•••		
$M_4$	$\infty$	<b>~</b>	×	$\infty$			
•	:	:	:	:	٠.		



$$X = Reject$$

**Undecidable language:** 









#### Interpreting the theorem

- We proved that there does not exist a Turing machine that decides
   SELF-REJECTORS
- **OBJECTION:** "Yeah, but I don't particularly care about Turing machines. Is there some other type of algorithm that decides SELF-REJECTORS?"
- **RESPONSE:** The Church-Turing Thesis

#### The Church-Turing Thesis

• Let  $Y \subseteq \{0, 1\}^*$ 

#### **Church-Turing Thesis:**

There exists an "algorithm" / "procedure" for figuring out whether a given string is in Y if and only if there exists a Turing machine that decides Y.



Mathematically precise notion

#### The Church-Turing Thesis

- The Church-Turing thesis says:
  - The Turing machine model is a "correct" way of modeling arbitrary computation
  - The informal concept of an "algorithm" is successfully captured by the rigorous definition of a Turing machine
- Consequence: It is really, truly impossible to design an algorithm that decides SELF-REJECTORS or any other undecidable language!

# Are Turing machines powerful enough?



- **OBJECTION:** "To encompass all possible algorithms, we should add various bells and whistles to the Turing machine model."
- Example: Left-Right-Stationary Turing Machine: Like an ordinary Turing machine, except it has a transition function  $\delta: Q \times \Sigma \to Q \times \Sigma \times \{L, R, S\}$
- S means the head does not move in this step
- (Exercise: Rigorously define NEXT, accepting, rejecting, etc.)



- The model is still realistic, even though we added an extra feature
- Is it a counterexample to the Church-Turing thesis?
- No!
- Let's prove that the left-right-stationary Turing machine model is equivalent to the original Turing machine model



Let Y be a language

**Theorem:** There exists a left-right-stationary TM that decides Y

if and only if there exists a TM that decides Y





- Idea of the proof of " $\Rightarrow$ " direction: Simulate S by doing L followed by R
- Details: Let  $M=\left(Q,q_0,q_{\mathrm{accept}},q_{\mathrm{reject}},\Sigma,\sqcup,\delta\right)$  be a left-right-stationary TM that decides Y
- New TM:  $M' = (Q', q_0, q_{\text{accept}}, q_{\text{reject}}, \Sigma, \sqcup, \delta')$
- New set of states:  $Q' = Q \cup \{\underline{q}: q \in Q\}$ , i.e., two disjoint copies of Q



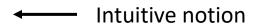
- New transition function  $\delta': Q' \times \Sigma \to Q' \times \Sigma \times \{L, R\}$  given by:
  - If  $\delta(q,b) = (q',b',L)$ , then  $\delta'(q,b) = \delta(q,b)$
  - If  $\delta(q,b) = (q',b',\mathbb{R})$ , then  $\delta'(q,b) = \delta(q,b)$
  - If  $\delta(q,b)=(q',b',S)$ , then  $\delta'(q,b)=\left(\underline{q'},b',L\right)$
  - For every q and b, we let  $\delta'\left(\underline{q},b\right)=\left(q,b,R\right)$
- Exercise: Rigorously prove that M' decides Y

#### The Church-Turing Thesis

• Let  $Y \subseteq \{0, 1\}^*$ 

#### **Church-Turing Thesis:**

There exists an "algorithm" / "procedure" for figuring out whether a given string is in Y if and only if there exists a Turing machine that decides Y.



Mathematically precise notion

# Multi-tape Turing

- Another TM variant: "k-tape
- Transition function:

$$\delta: Q \times \Sigma^k \to Q \times \Sigma^k \times \{L, R, S\}^k$$

• (Exercise: Rigorously define acceptance, rejection, etc.)

#### In each step, what determines the actions of head 1?

A: Head 1's state and the symbol observed by head 1

C: Head 1's state and the symbols observed by all heads

B: The machine's state and the symbols observed by all heads

D: The machine's state and the symbol observed by head 1

Respond at PollEv.com/whoza or text "whoza" to 22333

