

Depth- d Threshold Circuits vs. Depth- $(d + 1)$ AND-OR Trees

TOCA-SV @ Stanford
May 20, 2022



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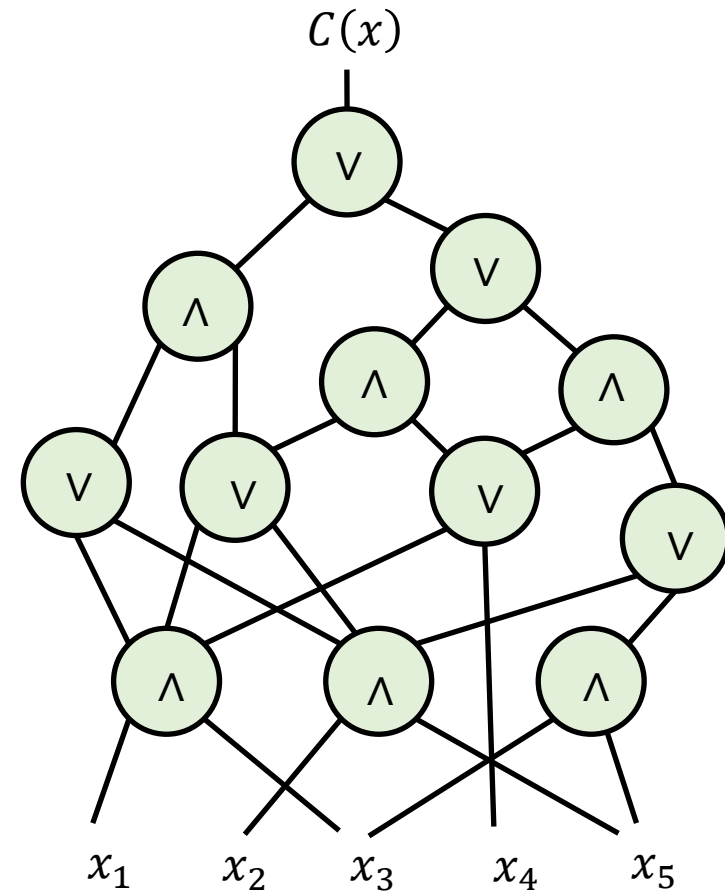
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¹Supported by NSF grant CCF-1947546.

²Supported by the NSF GRFP under Grant DGE-1610403 and by a Harrington Fellowship from UT Austin.

Circuit lower bounds

- $\exists F: \{0, 1\}^n \rightarrow \{0, 1\}$ with circuit complexity $2^{\Omega(n)}$
- Challenge: Find **explicit examples**
- TCS model: “**Explicit**” \equiv “**Efficiently computable**”
- So, we want to construct F such that
 - The circuit complexity of F is high...
 - ...but F can be computed by a uniform algorithm that is **as efficient as possible**
- Example: If $\exists F \in \text{NP}$ with super-polynomial circuit complexity, then $\text{P} \neq \text{NP}$



State of the art

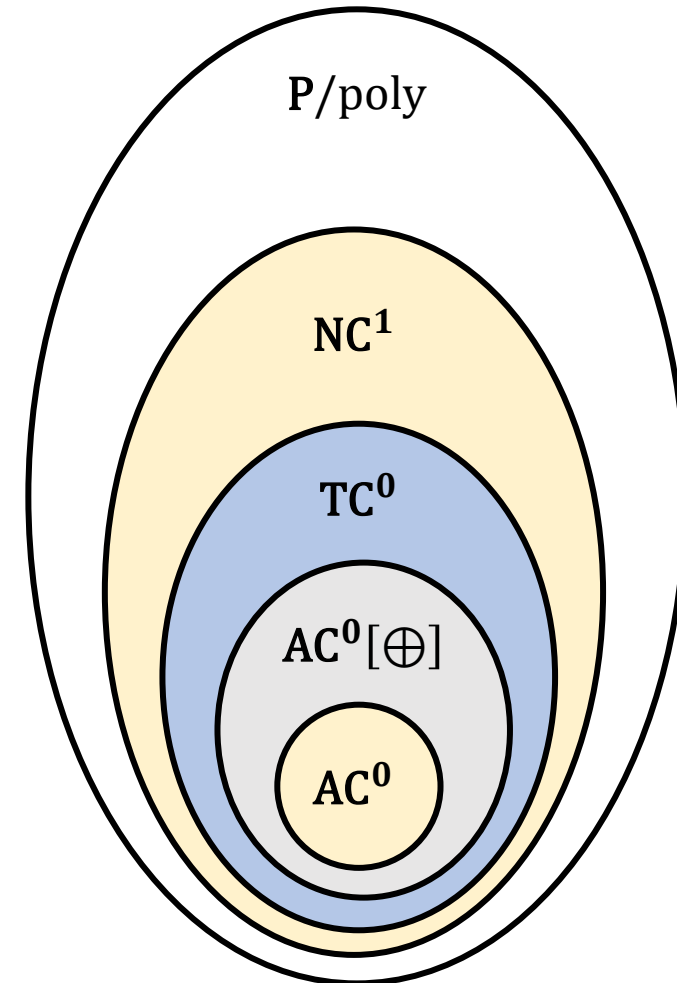
- So far, the best circuit lower bounds for functions in NP are only **linear** 😞

Basis	Size lower bound	Reference
B_2	$2n - O(1)$	[Kloss, Malyshev 1965]
B_2	$2.5n - O(1)$	[Stockmeyer 1977]
B_2	$3n - o(n)$	[Blum 1984]
B_2	$(3 + \frac{1}{86})n - o(n)$	[Find, Golovnev, Hirsch, Kulikov 2016]
U_2	$3n - O(1)$	[Schnorr 1976]
U_2	$4n - O(1)$	[Zwick 1991]
U_2	$4.5n - O(1)$	[Lachish, Raz 2001]
U_2	$5n - o(n)$	[Iwama, Morizumi 2002]

Restricted circuit classes

- The good news: We **can** prove super-linear lower bounds for **restricted circuits**
- In the most famous theorems, the “hard function” is in **P**

Hard function	Model	Size lower bound	Reference
Parity	Depth- d AC^0	$\exp(n^{\Omega(1/d)})$	[Ajtai 1983] [Furst, Saxe, Sipser 1984] [Yao 1985] [Håstad 1986]
Majority	Depth- d $AC^0[\oplus]$	$\exp(n^{\Omega(1/d)})$	[Razborov 1987] [Smolensky 1987] [Oliveira, Santhanam, Srinivasan 2019]
Andreev’s function	De Morgan formulas	$\tilde{\Omega}(n^3)$	[Andreev 1987] [Impagliazzo, Nisan 1993] [Paterson, Zwick 1993] [Håstad 1998] [Tal 2014]
Parity	Depth- d TC^0	$n^{1+2^{-\Theta(d)}}$	[Impagliazzo, Paturi, Saks 1997]



Hard functions in uniform AC^0

- Can we prove super-linear lower bounds where the hard function is in uniform AC^0 ?
 - Recall: AC^0 = constant-depth poly-size unbounded-fan-in circuits using AND/OR/NOT gates
 - Can we prove circuit lower bounds for “hyperexplicit” functions?

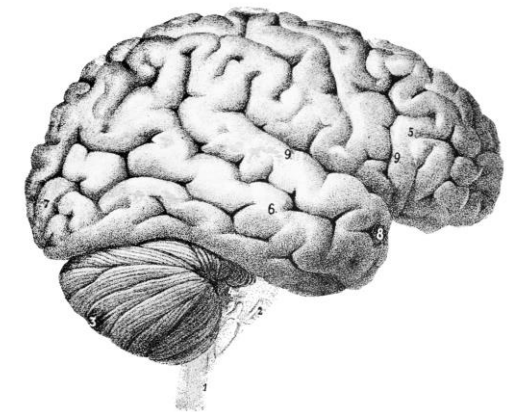
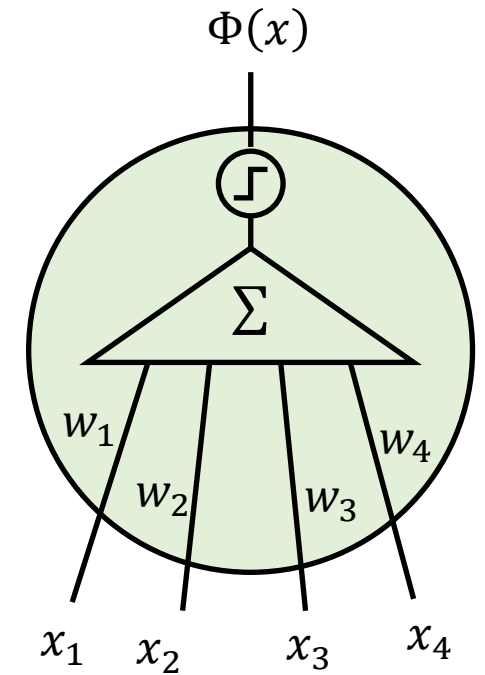
Model	Size lower bound	Hard function's AC^0 parameters	Reference
Depth- d AC^0	$\exp(n^{\Omega(1/d)})$	Depth $d + 1$ Size $O(n)$	[Sipser 1983] [Yao 1985] [Håstad 1987]
Depth- d $AC^0[\oplus]$	$n^{(\log n)^{\Omega(1/d)}}$	Depth $d + 1$ Size $O(n)$	[O'Donnell, Wimmer 2007] [Amano 2009] [Limaye, Sreenivasaiah, Srinivasan, Tripathi, Venkitesh 2021]
Depth- d $AC^0[\oplus]$	n^k	Depth d Size $n^{O(k)}$	[Limaye, Sreenivasaiah, Srinivasan, Tripathi, Venkitesh 2021] [Limaye, Srinivasan, Tripathi 2019]
De Morgan formulas	$n^{3-o(1)}$	Depth 4 Size $O(n^3)$	[Filmus, Meir, Tal 2021]
Depth- d TC^0	???	???	???

TC⁰ circuit model

- Each gate computes a **linear threshold function** (LTF):

$$\Phi(x) = 1 \Leftrightarrow \sum_{i=1}^n w_i x_i \geq \theta \text{ where } w \in \mathbb{R}^n \text{ and } \theta \in \mathbb{R}$$

- AND, OR, MAJORITY, ...
- Boolean analogue of a **neural network**
- Size = number of **wires**
- TC⁰: Depth = $O(1)$, size = poly(n)



Super-linear lower bounds for TC^0 circuits

- Let $n, d \in \mathbb{N}$ with $d = o(\log \log n)$

Theorem [Impagliazzo, Paturi, Saks 1997]: $\exists \gamma = 2^{-\Theta(d)}$ such that the **parity** function on n bits cannot be computed by depth- d LTF circuits with $n^{1+\gamma}$ wires

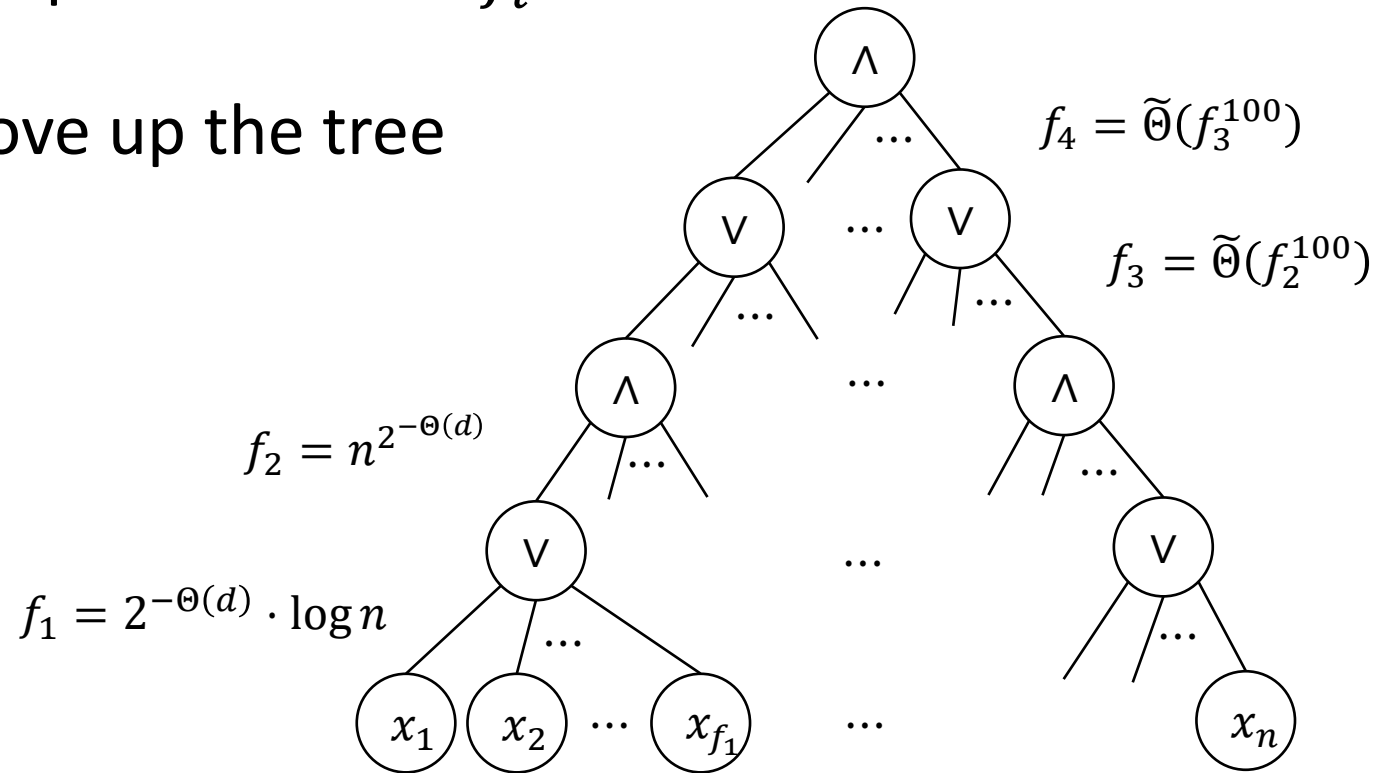
Our Theorem: $\exists \gamma = 2^{-\Theta(d)}$ and $\exists F: \{0, 1\}^n \rightarrow \{0, 1\}$ such that

- F can be computed by an explicit depth- $(d + 1)$ **AC⁰** circuit with $O(n)$ wires
 - F cannot be computed by depth- d LTF circuits with $n^{1+\gamma}$ wires
- Many prior lower bounds for simulating AC^0 by **subclasses** of LTF circuits such as **monotone** LTF circuits, **MAJ** \circ **LTF** circuits, etc.

Our hard function F

- F is a monotone read-once AC^0 formula (an **AND-OR tree**)
- Every gate at distance i from the input has fan-in f_i
- The fan-ins grow rapidly as we move up the tree

$$(f_1 \ll f_2 \ll \dots \ll f_{d+1})$$



Our lower bound approach

- We build on the proof of the [Average-Case Depth Hierarchy Theorem](#) for AC^0 [[Håstad, Rossman, Servedio, Tan 2017](#)]
- A [projection](#) is a map $\pi: \{x_1, \dots, x_n\} \rightarrow \{0, 1, y_1, \dots, y_m\}$ where x_i, y_j are variables
 - Traditional [restrictions](#) are the special case $\pi(x_i) \in \{0, 1, x_i\}$
- Following [[HRST17](#)], we show that under a suitable [random projection](#), an LTF circuit becomes near-trivial while our AND-OR tree F maintains structure
- Our analysis of LTF circuits builds on prior analyses of LTF circuits under random [restrictions](#), especially [[Chen, Santhanam, and Srinivasan 2018](#)]

Summary

- We construct an explicit $F \in AC^0$ that cannot be computed by depth- d LTF circuits with $n^{1+\gamma}$ wires
- Our result essentially matches the best lower bounds for depth- d LTF circuits ($d > 2$), with one bonus feature: now the hard function is in AC^0
- Thanks for listening! Questions?