

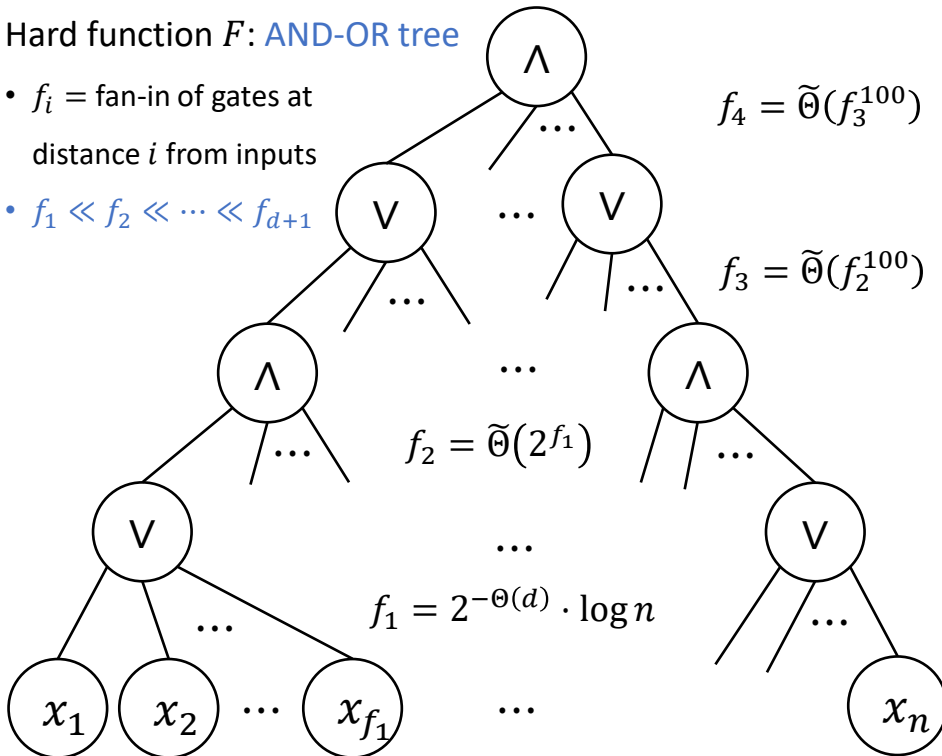
# Depth- $d$ Threshold Circuits vs. Depth- $(d + 1)$ AND-OR Trees

Pooya Hatami (Ohio State University), William Hoza (Simons Institute), Avishay Tal (UC Berkeley), and Roei Tell (IAS & DIMACS)

- Let  $n$  be sufficiently large, let  $d \leq 0.05 \log \log n$ , and let  $\gamma = 2^{-10 \cdot d}$
- Main Theorem:**  $\exists F: \{0, 1\}^n \rightarrow \{0, 1\}$  such that
  - $F$  can be computed by an explicit depth- $(d + 1)$   $AC^0$  circuit with  $O(n)$  wires...
  - ...but  $F$  cannot be computed by any depth- $d$  threshold circuit with  $n^{1+\gamma}$  wires

Hard function  $F$ : AND-OR tree

- $f_i$  = fan-in of gates at distance  $i$  from inputs
- $f_1 \ll f_2 \ll \dots \ll f_{d+1}$



## Background

- In a “threshold circuit,” each gate computes a linear threshold function:  $\Phi(x) = 1 \Leftrightarrow \sum_{i=1}^n w_i \cdot x_i \geq \theta$  where  $w \in \mathbb{R}^n$  and  $\theta \in \mathbb{R}$
- $TC^0$  = constant-depth poly-size threshold circuits
- Open problem: Prove  $NEXP \not\subseteq TC^0$  (progress toward  $P \neq NP$ )
- Theorem** (Impagliazzo, Paturi, Saks 1997):  $\exists \gamma = 2^{-\Theta(d)}$  s.t. parity cannot be computed by depth- $d$  threshold circuits with  $n^{1+\gamma}$  wires
- Prior lower bounds for simulating  $AC^0$  by subclasses of threshold circuits (monotone threshold circuits, MAJ  $\circ$  LTF circuits, etc.)

## Our lower bound approach

- A projection is a map  $\pi: \{x_1, \dots, x_m\} \rightarrow \{0, 1, y_1, \dots, y_m\}$  where  $x_1, \dots, x_n, y_1, \dots, y_m$  are variables. Restriction:  $\pi(x_i) \in \{0, 1, x_i\}$
- Building on work by Håstad, Rossman, Servedio, and Tan (2017), we design a random projection  $\pi$  s.t. for a suitable distribution  $\sigma$ :
  - W.h.p. over  $\pi$ , the projected AND-OR tree is balanced:
 
$$\Pr_{\sigma}[F|_{\pi}(\sigma) = 1] = 1/2 \pm o(1)$$
  - $\forall$  threshold circuit  $f$  with  $n^{1+\gamma}$  wires, w.h.p. over  $\pi$ , the projected circuit is biased:  $\exists b$  s.t.  $\Pr_{\sigma}[f|_{\pi}(\sigma) = b] = 1 - o(1)$
- Proof of (2) builds on prior analyses of threshold circuits under random restrictions, especially work on average-case lower bounds by Chen, Santhanam, and Srinivasan (2018)